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COMPLIANCE**  
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# Certified Digital Practitioner: Certificate in **Compliance** **Syllabus.**

SAQA NQF Level 4. UK QAA Level 2

[www.navigatecompliance.io](http://www.navigatecompliance.io)

# Certified Digital Practitioner: Certificate in Compliance

## Understanding the Regulatory Environment

- What is regulation?
- What is regulation designed to achieve?
- The history of financial services regulation
- Other factors that influence financial services regulation
- The objectives of financial services regulation
- Different parties involved in regulation

## Regulation in Practice

- Approaches to, and models of, regulation
- Sources of regulation
- International legislation and best practice developments
- Regulators and their powers

## Compliance in Practice

- The role of the Compliance department
- The role of a compliance officer
- The role of the Board
- Key compliance activities and processes
- Key compliance relationships

## Other Key Compliance Areas

- Anti money laundering
- Financial crime prevention
- Market abuse
- Managing risk
- Enforcement

For more info or registration:  
[info@navigatecompliance.io](mailto:info@navigatecompliance.io)

[www.navigatecompliance.io](http://www.navigatecompliance.io)



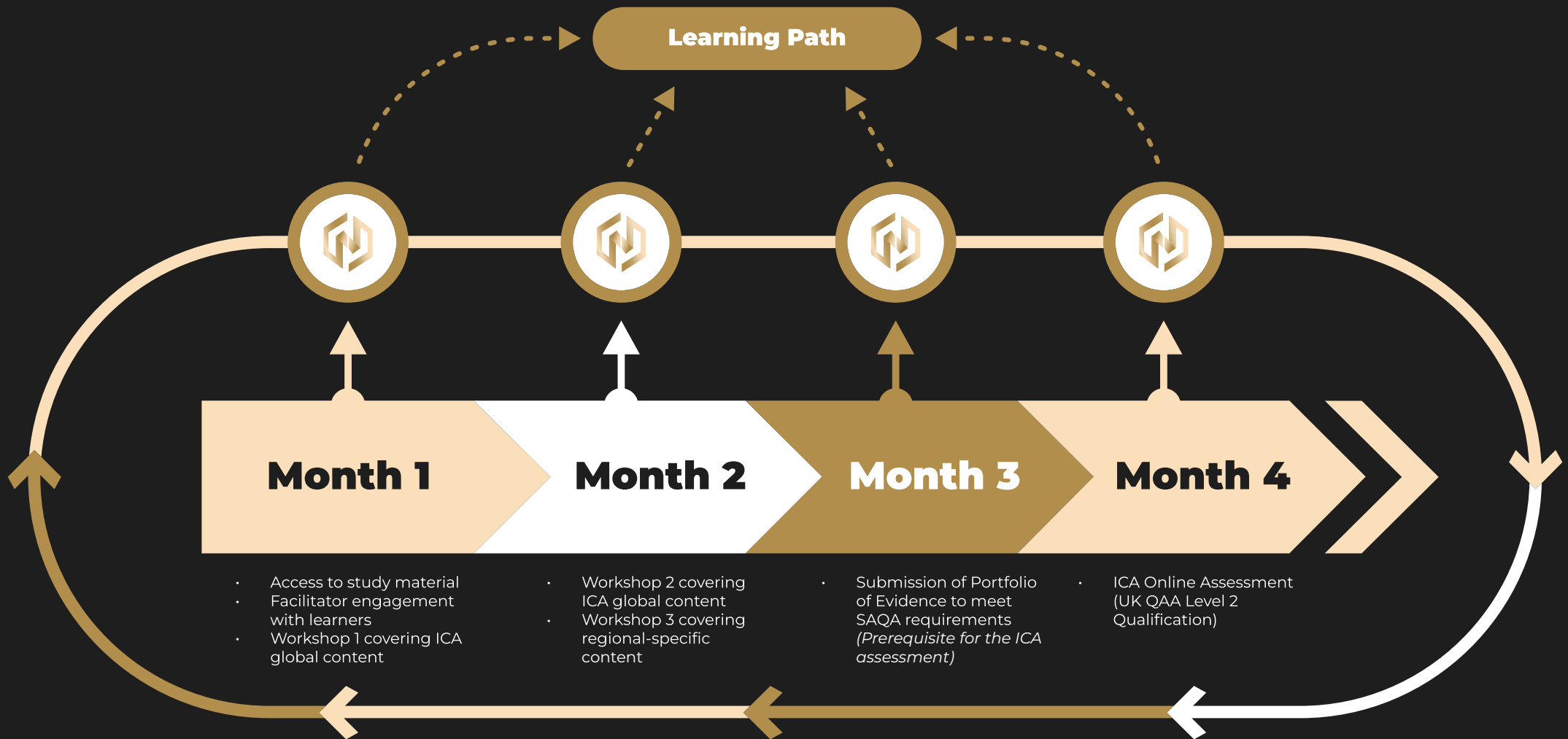
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**INTERNATIONAL  
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# Your Learning Journey

Certified Digital Practitioner CDP (SA): Professional Learning Programmes



Note: Upon completion, users will earn two qualifications i.e. UK aligned (QAA level 2 qualification) and a CDP(SA) Professional Learning Program.



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